SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

						_					1	101 1040								
1. Name and Address of Reporting Person*					2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
<u>Musso Alan A</u>				<u>ru</u>	Fulcrum Therapeutics, Inc. [FULC]						T I	Directo	,		10% Ov	ner				
						3. Date of Earliest Transaction (Month/Day/Year)					- X	C Officer below)	(give title		Other (s below)	pecify				
(Last) (First) (Middle)					08/	08/07/2023						Chief Financial Officer								
C/O FULCRUM THERAPEUTICS, INC.												+								
26 LANDSDOWNE STREET				4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line)	,								
(Street)					-									X	Form fi	led by One	e Repo	orting Persor	ı	
CAMBRIDGE MA 02139														Form filed by More than One Reporting Person				ting		
(City) (State) (Zip) Rule 10b5-1(c) Transaction Indication																				
							Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Transau Date (Month/Date)					Execution Date,		e, Transaction Dispos Code (Instr. 5)		Dispose	curities Acquired (A) o osed Of (D) (Instr. 3, 4				es Form ially (D) Following (I) (I		r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount	Amount (A) or (D)		Price	Transact	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversio or Exercis Price of Derivative Security		3A. Deemed Execution E if any (Month/Day	Date, 1	Code (Instr				6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactio	e s Illy J	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	or Nu	nount umber Shares		(Instr. 4)		5,		
Stock Option (right to buy)	\$4.01	08/07/2023			A		432,800		(1)	08	8/06/2033	Commo Stock		32,800	\$0.00	432,80)0	D		

Explanation of Responses:

1. This option was granted on August 7, 2023 and is scheduled to vest 25% on the first anniversary of the vesting commencement date of August 7, 2023. The remainder of the shares underlying the grant shall vest in equal quarterly installments over the following three years until the fourth anniversary of the vesting commencement date, subject to continued service.

/s/ Greg Tourangeau as 08/09/2023 attorney-in-fact for Alan Musso

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.